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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re.: Patent Application of  
WEAVER, CHANA L.  
THOMPSON, LINDA J.  
KELLS, DION L.  
NELSON, KURT W.

Serial No.: 10/002,566

Filed: November 1, 2001

For: SYSTEM AND METHOD FOR CATEGORY MANAGEMENT  
ANALYSIS

Docket No.: 5603USA

FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts  
Of Application--Filing Date Granted. The notice indicated  
that the following items were missing:

1. The oath or declaration.

Please find enclosed a Declaration executed by the  
inventors.

Also enclosed is a copy of the Notice. The fee is  
herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

WEAVER, CHANA L.  
THOMPSON, LINDA J.  
KELLS, DION L.  
NELSON, KURT W.

I hereby certify that this document is  
being deposited with the United States  
Postal service as first class mail in an  
envelope addressed to:

Assistant Commissioner for  
Patents  
Washington, DC 20231

on: 2-08-02

*Patricia Haggblom*  
Signature

By *Michael C. Maier*  
Michael C. Maier  
Attorney for Applicants  
Reg. No. 36,206  
Minneapolis, MN  
(763) 764-2265  
FAX: (763) 764-2268



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PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/002,566       |
| Filing Date          | November 1, 2001 |
| First Named Inventor | WEAVER, CHANA L. |
| Examiner Name        |                  |
| Group Art Unit       | 2166             |
| Attorney Docket No.  | 5603USA          |

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 07-0900  
Deposit Account Name General Mills, Inc.  
☒ Charge Any Additional Fee Required Under 37 CFR 1.116 and 1.117  
☐ Applicant Claims small entity status. See 37 CFR 1.27

## 2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money ☐ Other Order

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------|----------|
|-----------------------|-----------------------|-----------------|----------|

|     |     |     |     |                        |  |
|-----|-----|-----|-----|------------------------|--|
| 101 | 740 | 201 | 370 | Utility filing fee     |  |
| 106 | 330 | 206 | 165 | Design filing fee      |  |
| 107 | 510 | 207 | 255 | Plant filing fee       |  |
| 108 | 740 | 208 | 370 | Reissue filing fee     |  |
| 114 | 160 | 214 | 80  | Provisional filing fee |  |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

| Extra Claims       |       | Fee from below | Fee Paid |
|--------------------|-------|----------------|----------|
| Total Claims       | -20** | =              | =        |
| Indep. Claims      | -3**  | =              | =        |
| Multiple Dependent |       | =              | =        |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |     |  |
|-----------------------|-----------------------|-----------------|-----|--|
| 103                   | 18                    | 203             | 9   | Claims in excess of 20                                     |
| 102                   | 84                    | 202             | 42  | Independent claims in excess of 3                          |
| 104                   | 280                   | 204             | 140 | Multiple dependent claim, if not paid                      |
| 109                   | 84                    | 209             | 42  | ** Reissue independent claims over original patent         |
| 110                   | 18                    | 210             | 9   | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

|     |       |     |       | Fee Description  | Fee Paid |
|-----|-------|-----|-------|--|----------|
| 105 | 130   | 205 | 65    | Surcharge - late filing fee or oath                              | 130.00   |
| 127 | 50    | 227 | 25    | Surcharge - late provisional filing fee or cover sheet           |          |
| 139 | 130   | 139 | 130   | Non-English specification  |          |
| 147 | 2520  | 147 | 2520  | For filing a request for ex parte reexamination                  |          |
| 112 | 920*  | 112 | 920*  | Requesting publication of SIR prior to Examiner action           |          |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action              |          |
| 115 | 110   | 215 | 55    | Extension for reply within first month                           |          |
| 116 | 400   | 216 | 200   | Extension for reply within second month                          |          |
| 117 | 920   | 217 | 460   | Extension for reply within third month                           |          |
| 118 | 1440  | 218 | 720   | Extension for reply within fourth month                          |          |
| 128 | 1960  | 228 | 980   | Extension for reply within fifth month                           |          |
| 119 | 310   | 220 | 155   | Notice of Appeal   |          |
| 120 | 310   | 220 | 155   | Filing a brief in support of an appeal                           |          |
| 121 | 270   | 221 | 135   | Request for oral hearing   |          |
| 138 | 1510  | 138 | 1510  | Petition to institute a public use proceeding                    |          |
| 140 | 110   | 240 | 55    | Petition to revive - unavoidable                                 |          |
| 141 | 1240  | 241 | 620   | Petition to revive - unintentional                               |          |
| 142 | 1280  | 242 | 620   | Utility issue fee (or reissue)                                   |          |
| 143 | 440   | 243 | 220   | Design issue fee   |          |
| 144 | 600   | 244 | 300   | Plant issue fee  |          |
| 146 | 710   | 246 | 355   | Filing a submission after final rejection (37 CFR § 1.129 (a))   |          |
| 149 | 710   | 246 | 355   | For each additional invention to be examined (37 CFR § 1.129(b)) |          |
| 179 | 710   | 279 | 355   | Request for Continued Examination (RCE)                          |          |
| 169 | 900   | 169 | 900   | Request for expedited examination of a design application        |          |
|     |       |     |       | Advance Copies   |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)**130.00**

## SUBMITTED BY

## Complete (if applicable)

|                   |                   |                  |        |           |              |
|-------------------|-------------------|------------------|--------|-----------|--------------|
| Name (Print/Type) | Michael C. Miller | Registration No. | 36,206 | Telephone | 763-764-2265 |
| Signature         |                   | (Attorney/Agent) |        | Date      | 2-08-02      |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEB 26 2002

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PTO/SB/21 (08-00)

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number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/002,566

Filing Date

November 1, 2001

First Named Inventor

WEAVER, CHANA L.

Group Art Unit

2166

Examiner Name

Total Number of Pages in This Submission

7

Attorney Docket Number

5603USA

**ENCLOSURES (check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment / Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Documents

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53Assignment Papers  
(for an Application)

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence  
Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s)

After Allowance Communication  
to GroupAppeal Communication to Board  
of Appeals and InterferencesAppeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
identify below):  
Declaration/Power of Attorney  
Fee Sheet  
Return Post Card

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual Name

GENERAL MILLS

Signature

Date

2-08-02

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:.

Typed or printed name

Pat Haggbloom

Signature

Date

2-08-02

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/002,566         | 11/01/2001          | Chana L. Weaver       | 5603USA                |

 30173  
 GENERAL MILLS, INC.  
 P.O. BOX 1113  
 MINNEAPOLIS, MN 55440

CONFIRMATION NO. 3780

## FORMALITIES LETTER



\*OC000000007251349\*

Date Mailed: 01/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

04/2002 BSAYAS11 00000183 070900 10002566

FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



30173

PATENT TRADEMARK OFFICE